

10/508341

Docket No.: 43888-335

DT04 Rec'd PCT/PTO 20 SEP 2004  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	:	Customer Number: 20277
Harunari SHIMAMURA, et al.	:	Confirmation Number: Not yet assigned
Serial No.: Not yet assigned	:	Group Art Unit: Not yet assigned
Filed: September 20, 2004	:	Examiner: Not yet assigned
For: NEGATIVE ELECTRODE MATERIAL AND NON-AQUEOUS ELECTROLYTE SECONDARY BATTERY USING THE SAME	:	

**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner for Patents and Trademarks  
Washington, D. C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed with the application and no certification or fee is required.

A copy of the foreign search report is attached for the Examiner's information. Please note this is a PCT application in the entry of the National Phase in the U.S. and copies of the references cited were transmitted by WIPO and are believed to be in the file of the above identified application and readily available to the Examiner. Therefore it is believed that Applicants have met all requirements regarding duty of disclosure under 37

Serial No.: Not yet signed

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CFR 1.56. Acknowledgement and consideration of these documents are respectfully requested.

However, to ensure that these references are available to the Examiner, we are providing copies of these references herewith. Since the Search Report was from the U.S. JPO or EPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above identified application and readily available to the Examiner.

Respectfully submitted,

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**Date: September 20, 2004**

INFORMATION DISCLOSURE CITATION IN AN APPLICATION						ATTY. DOCKET NO. <b>43888-335</b>		SERIAL NO. Not yet assigned <b>107508341</b>	
(PTO-1449)						APPLICANT <b>Harunari SHIMAMURA, et al.</b>			
						FILING DATE <b>September 20, 2004</b>		GROUP <b>Not yet assigned</b>	
U.S. PATENT DOCUMENTS									
EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code <sub>2</sub> (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		US							
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FOREIGN PATENT DOCUMENTS									
EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes - Number - Kind Codes (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear		Translation	
								Yes	No
			JP 07-240201	09/12/1995				abstract	
			JP 09-063651	03/07/1997				abstract	
			JP 2000-030703	01/28/2000				abstract	
			EP 1 109 239 A1	06/20/2001					
			JP 2001-243946	09/07/2001				abstract	
			JP 2002-050353	02/15/2002				abstract	
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)									
EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.							
EXAMINER					DATE CONSIDERED				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.